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**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of		)	
Applicants:	James Benjamin Williams et al.	)	
,		)	Examiner
Serial No.	10/797,889	)	Olabode Akintola
		)	
Filed:	March 10, 2004	)	Art Unit 3691
		)	
	ods for issuing, distributing, managing a	nd)	
	ming investment instruments providing	)	
secur	itized annuity options	)	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dated: April 13, 2007

Dear Sir.

### FAX TRANSMISSION COVER LETTER

-3 SHEETS TOTAL -

The attached AMENDMENT (3 pages) is being transmitted via facsimile to the central facsimile number of United States Patent and Trademark Office, (571) 273-8300, on April 13, 2007.

Respectfullysubmitted,

Charles G. Call, Reg. 20,406

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Applicants	:: James Benjamin Williams et al.	)	
		)	Examiner
Serial No.	10/797,889	)	Olabode Akintola
Filed:	March 10, 2004	)	Art Unit 3691
Title:	Methods for issuing, distributing, managin	g).	
	and redeeming investment instruments	)	
	providing securitized annuity options	)	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### **AMENDMENT**

This amendment is being submitted following a telephone request from Examiner
Akinotola and comprises the following parts, each of which begins on a separate page:

Amendments to the specification

Remarks